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Variable Structure Observer for Control Bias on Unmanned Air Vehicles

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I. Introduction

One of the great selling points of military unmanned air vehicles is that they perform difficult missions in contact with the enemy while not directly endangering soldiers. These aircraft often incur damage that results in geometry changes in the aerodynamic body and wings, sensor and actuator failures, and loss of power. In some cases, loss of aircraft occurs, and in other cases, the aircraft is severely damaged to the point that the intended mission of the aircraft is compromised. Micro air vehicles are designed as expendable low-cost devices with generous tolerances, leading to relatively large variability from aircraft to aircraft. At the same time, autonomous military and homeland defense operations are being defined that require aggressive unmanned air vehicle maneuvering for flight in an urban environment, reliable operation in high winds, and tight formation flying. One of the more pervasive problems is design of the flight control system for a highly variable aircraft. A good example that highlights this issue is control surface bias. It is often assumed that the nominal control surface position for zero control input is known. In practice, this is not the case and is particularly problematic for low-cost unmanned air vehicles. Control bias may change from flight to flight, due to landing and assembly, and even during flight, due to hinge tape heating and wear. New generation UAVs are expected to require very little human intervention and calibration of control bias for each flight is time-consuming. Integral control is often used to alleviate bias problems; however, it degrades transient response, may destabilize the system, and requires control design alteration. To eliminate this problem, a robust control surface bias observer with assured convergence properties can be added to the autopilot to create an adaptive control system.

Adaptive controllers have long been a subject of substantial research. Steinberg [1] provides a recent overview and comparison of existing adaptive control techniques. Often, adaptive flight control laws are implemented by adding an observer or online parameter estimator to an existing controller. When the parameterization is linear, static online estimation can be performed. Chandler et al. [2] proposed an adaptive controller based on a static estimation of plant parameters using constrained linear regression. This method used a batch algorithm in which a window length was used to control performance. Bodson [3] used a recursive formulation of a modified sequential least-squares algorithm (MSLS) as a parameter estimator for adaptation inside a nonlinear autopilot. MSLS has also been applied to a vertical takeoff and landing UAV [4]. Later, Shore and Bodson [5] used the MSLS algorithm for fault detection and demonstrated its real-time implementation with flight tests. In contrast to MSLS, dynamic estimation of linear parameters using a two-step Kalman filter has been investigated [6].

In general, the observation problem is nonlinear and can be solved using nonlinear observers. A commonly used nonlinear estimation technique is the well-documented extended Kalman filter (EKF) [7]. The EKF can suffer from failed convergence and sensitivity to initial parameters. For magnetometer calibration, Crassidis and Lai [8] showed that an unscented Kalman filter was more robust than a standard EKF at the cost of increased computations. Other proposed nonlinear observers, such as global linearization methods [9] and pseudolinearization [10], require transformations that are not always possible. Another approach to observer design lies in the use of a nonlinear variable structure (VS) theory that employs switching control [11,12]. The observers take a form similar to a Luenberger observer with an appended switching function. However, methods of selecting switching gains may be complicated [13]. Wang et al. [14] extended the use of a sliding mode observer for process control. Sliding observers have recently been applied extensively to induction motors [15–17].

The work reported here presents a nonlinear control surface bias observer for unmanned air vehicles. The observer uses switching control to provide robustness to sensor and modeling errors. Lyapunov theory is used to evaluate the region of practical stability when uncertainty is present. The observer is similar to sliding mode observers, with the exception that formal selection of a sliding surface is not required. The observer is compared in simulation with an EKF, and selection of observer design parameters is discussed. Finally, the nonlinear observer is tested on a small UAV on which it is shown to successfully estimate known control surface biases and achieve significant improvements in control performance.

Nomenclature

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>b</td>
<td>span</td>
</tr>
<tr>
<td>ĉ</td>
<td>chord</td>
</tr>
<tr>
<td>L, M, N</td>
<td>aerodynamic moment components in the body reference frame</td>
</tr>
<tr>
<td>p, q, r</td>
<td>components of the angular velocity of the system in the body reference frame</td>
</tr>
<tr>
<td>S</td>
<td>reference area</td>
</tr>
<tr>
<td>u, v, w</td>
<td>components of the velocity vector of the system mass center in the body frame</td>
</tr>
<tr>
<td>V_A</td>
<td>total aerodynamic velocity of the system</td>
</tr>
<tr>
<td>X, Y, Z</td>
<td>aerodynamic force components in the body reference frame</td>
</tr>
<tr>
<td>x, y, z</td>
<td>components of the position vector of the system mass center in an inertial frame</td>
</tr>
<tr>
<td>λ_max(M)</td>
<td>largest eigenvalue of the matrix M</td>
</tr>
<tr>
<td>φ, θ, ψ</td>
<td>Euler roll, pitch, and yaw angles of the system</td>
</tr>
</tbody>
</table>

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II. Unmanned Air Vehicle Model

An unmanned air vehicle can be modeled as a rigid body possessing six degrees of freedom (DOF), including three inertial position components of the system mass center as well as the three Euler orientation angles. The dynamic equations of motion are provided in Eqs. (1) and (2).

\[
\begin{align*}
\dot{\mathbf{r}} & = \frac{1}{m} \begin{bmatrix} X \\ Y \\ Z \end{bmatrix} + \mathbf{u} + \mathbf{g} \begin{bmatrix} -s_0 \\ c_\phi c_\theta \\ c_\phi \sin \theta \end{bmatrix} \\
\dot{\mathbf{v}} & = \frac{1}{m} \begin{bmatrix} X \\ Y \\ Z \end{bmatrix} - \mathbf{S}_w \begin{bmatrix} X \\ Y \\ Z \end{bmatrix} + \mathbf{g} \begin{bmatrix} -s_0 \\ c_\phi c_\theta \\ c_\phi \sin \theta \end{bmatrix} \\
\end{align*}
\]

(1)

where

\[
\mathbf{S}_w = \begin{bmatrix} 0 & -r & q \\ r & 0 & -p \\ -q & p & 0 \end{bmatrix}
\]

and

\[
I = \begin{bmatrix} I_{xx} & 0 & I_{xz} \\ 0 & I_{yy} & 0 \\ I_{xz} & 0 & I_{zz} \end{bmatrix}
\]

(2)

The aerodynamic forces acting at the system mass center and the aerodynamic moments about the system mass center are given in Eqs. (5–9).

\[
\begin{align*}
\begin{bmatrix} X \\ Y \\ Z \end{bmatrix} &= \frac{1}{2} \rho SV^2 \begin{bmatrix} C_{y0} + C_{x0} \alpha^2 & C_{y0} & C_{z0} \\ C_{y0} & C_{y0} & C_{z0} + C_{z0} \alpha \\ C_{z0} & C_{z0} & \end{bmatrix} \begin{bmatrix} b_c \\ p \\ \alpha \end{bmatrix} \\
\begin{bmatrix} L \\ M \\ N \end{bmatrix} &= \frac{1}{2} \rho SV^2 \begin{bmatrix} b_c \\ \alpha C_m \\ b_c \alpha \end{bmatrix}
\end{align*}
\]

(3)

\[
C_{\alpha} = C_{\alpha0} + C_{\alpha0} \alpha + C_{\alpha q} \left( \frac{\bar{q}}{2V_A} \right) q + C_{\alpha q} \left( \delta_e + b_a \right)
\]

(4)

\[
C_\phi = C_{\phi0} + C_{\phi0} \phi + C_{\phi q} \left( \frac{b}{2V_A} \right) r + C_{\phi q} \left( \delta_e + b_a \right)
\]

(5)

where \( \delta_{a} \) and \( \delta_{e} \) are aileron and elevator control, and \( b_a \) and \( b_e \) are biases in aileron and elevator control.

III. Control Bias Observer

The control bias observer estimates four states \((p, q, b_a, \text{ and } b_e)\) using the three angular velocities, total velocity \(V\), angle of attack, and sideslip as measurements. The differential equations describing the four states to be estimated are found expanding Eq. (2) and assuming the control biases are constant.

\[
p = \frac{\rho SV^2 b}{2(I_{xx} I_{zz} - I_{xz}^2)} \left[ I_{xx} (C_{\phi0} \phi + C_{\phi0} \phi_{\delta_a}) - I_{xz} (C_{\phi0} \phi_{\delta_e} + C_{\phi0} \phi_{\delta_e} + b_a) \right] + C_{\phi0} \left( \frac{b}{2V_A} \right) + C_{\phi0} \delta_a
\]

\[
+ \frac{\rho SV^2 b^2 I_{zz} C_{\phi0}}{4(I_{xx} I_{zz} - I_{xz}^2)} p
\]

(6)

\[
+ \frac{\rho SV^2 b (I_{zz} C_{\phi0} - I_{xz} C_{\phi0})}{2(I_{xx} I_{zz} - I_{xz}^2)} b_a - \left( \frac{I_{zz} - I_{xz} - I_{xz} + I_z}{I_{xx} I_{zz} - I_{xz}^2} \right) q
\]

\[
+ \frac{(I_{xx} I_{yy} - I_{xz})}{I_{xx} I_{xx} - I_{xz}^2} p q
\]

(7)

The observer performs well if the dynamic equations for the estimated states are chosen such that the errors in Eq. (14) decrease as time increases. One possibility is to choose the estimated state differential equations as the true state differential equations in Eqs. (10–13) with two modifications: replacement of true states with estimated states and the addition of a control function. Proceeding in this manner and using identities in Eqs. (15) and (16), the observer dynamics for the observer are shown in Eq. (17).

\[
pq - \hat{p} q = e_1 e_3 + \hat{p} e_3 + \hat{q} e_1
\]

(8)

\[
p^2 - \hat{p}^2 = e_1^2 + 2\hat{p} e_1
\]

(9)

\[
C_\phi = C_{\phi0} + C_{\phi0} \phi + C_{\phi0} \phi_{\delta_a} + C_{\phi0} \phi_{\delta_e} + b_a
\]

(10)

\[
C_\phi = C_{\phi0} + C_{\phi0} \phi + C_{\phi0} \phi_{\delta_a} + C_{\phi0} \phi_{\delta_e} + b_a
\]

(11)

\[
\begin{bmatrix}
\dot{e}_1 \\
\dot{e}_2 \\
\dot{e}_3 \\
\dot{e}_4
\end{bmatrix} =
\begin{bmatrix}
A e_1 - B e_3 + C e_2 + D(e_1 e_3 + \hat{p} e_3 + \hat{q} e_1) \\
0 \\
\end{bmatrix}
\]

(12)

\[
\begin{bmatrix}
\dot{e}_3 \\
\dot{e}_4
\end{bmatrix} =
\begin{bmatrix}
0 \\
E e_1 + F e_3 + G e_4 + H(e_1^2 + 2 e_1 \hat{p})
\end{bmatrix}
\]

(13)

where

\[
A = \frac{\rho SV^2 b^2 I_{zz} C_{\phi0}}{4(I_{xx} I_{zz} - I_{xz}^2)}
\]

(14)

\[
B = \frac{(r I_{zz} - I_{xx} I_{zz} + I_z^2)}{(I_{xx} I_{zz} - I_{xz}^2)}
\]

(15)
\[ C = \left( \rho SV^2 b (I_{zz} C_{l1u} - I_{xx} C_{n1u}) \right) \frac{2(I_{xx} I_{zz} - I_{xz}^2)}{2I_{xx} I_{zz} - I_{xz}^2} \]  

\[ D = \left( I_{zz} (I_{yy} - I_{xx} + I_{zz}) \right) \frac{r(I_{xx} - I_{zz})}{I_{yy}} \]  

\[ E = \left( \frac{\rho SV_0 c^2 C_{n1u}}{4I_{yy}} \right) \]  

\[ F = \left( \rho SV_0 c^2 C_{n1u} \right) \frac{2}{2I_{yy}} \]  

\[ G = \left( \rho SV_0 c^2 C_{n1u} \right) \frac{2}{2I_{yy}} \]  

\[ H = \left( I_{xx}^2 \right) \frac{1}{I_{yy}} \]  

The error dynamics in Eq. (17) are clearly nonlinear; however, if the controls can be chosen so that error dynamics are well-behaved, the observer will be successful. To this end, the equivalent controls to cancel the nonlinearities are selected as follows.

\[
\begin{bmatrix}
    u_{eq1} \\
    u_{eq2} \\
    u_{eq3} \\
    u_{eq4}
\end{bmatrix} =
\begin{bmatrix}
    \frac{\Lambda e_1 - B e_3 + D(e_1 e_3 + \hat{p} e_3 + \hat{q} e_1)}{(C/\sigma_1) e_1} \\
    -Ee_1 + F e_3 + H(e_1^2 + 2\hat{p} e_3) \\
    \frac{(G/\sigma_1) e_3 - u_{n3}}{u_{n4}}
\end{bmatrix}
\]  

The error dynamics with the preceding controls are shown next.

\[
\begin{bmatrix}
    \dot{\hat{e}}_1 \\
    \dot{\hat{e}}_2 \\
    \dot{\hat{e}}_3 \\
    \dot{\hat{e}}_4
\end{bmatrix} =
\begin{bmatrix}
    -K_1 e_1 + C e_2 - u_{n1} \\
    -C e_1 e_2 - u_{n2} \\
    -K_3 e_1 + G e_4 - u_{n3} \\
    -G e_1 - u_{n4}
\end{bmatrix}
\]  

The two systems have identical form: \( \mathbf{\dot{s}} = \mathbf{A}\mathbf{s} + \mathbf{B}\mathbf{u} \). Let \( P \) denote the positive definite solution to the following Lyapunov.

\[ PA + A^T P = -Q \]  

Consider the function \( V = s^T P s \) and the following control \( U \).

\[ U = \begin{bmatrix} K_{s1} \text{ sign}(s_1) \\ -K_{s2} \text{ sign}(s_2) \end{bmatrix} \]  

It is straightforward to show that \( V \) has the following bounds on its derivative \[ V \leq -s^T Q s - 2 \det(P) p_{zz} K_{s1} \| s_1 \| + \delta \| \mathbf{s} \| \| \mathbf{x} \| \]  

\[ \| \mathbf{x} \| < \delta \]  

where \( \delta = 2 \sqrt{\det(P)} \). The first two terms in Eq. (32) are always less than zero. A region \( R_0 \) exists near the origin for \( s \notin R_0 \), \( \dot{V} < 0 \). Therefore, the system is ultimately bounded with respect to the region \( R_0 \). The size of \( R_0 \) is determined by selection of \( P \) and \( K_{s1} \), with the region area decreasing as the switching gain \( K_{s2} \) is increased. An additional design consideration is to have the ratio \( p_{zz}/p_{zz} \) be small so that high gain switching does not occur on the desired bias observations. Combining the control elements of Eqs. (17), (26), and (31) the bias observer takes the final form shown in Eqs. (33–36).

\[
\begin{align*}
\dot{\hat{p}} &= \frac{\rho SV_0 c^2}{2I_{xx} I_{zz} - I_{xz}^2} \left[ I_{xx} (C_1 \hat{p} + C_2 \hat{q}) - I_{xz} (C_3 \hat{p} + C_4 \hat{q}) \right] + A p - B q + C b + D p q \\
&+ K_1 (p - \hat{p}) + K_{s1} \text{ sign}(p - \hat{p}) \\
\dot{\hat{q}} &= \frac{\rho SV_0 c^2}{2I_{yy}} \left[ C_{m0} + C_{m2} a + C_{m1} \delta - I_{x2} \hat{p}^2 \right] + E p + F q \\
&+ G \hat{b}_s + H p^2 + K_3 (q - \hat{q}) + K_{s2} \text{ sign}(q - \hat{q})
\end{align*}
\]  

\[ \dot{\hat{e}}_s = (G/\sigma_3) (q - \hat{q}) - K_{s3} \frac{p_{zz1}}{p_{zz2}} \text{ sign}(q - \hat{q}) \]

IV. Results

The control bias observer is simulated on a rudderless unmanned air vehicle, with physical properties given in Table 1 and the aerodynamic coefficient given in Table 2. The vehicle model and observer are numerically integrated using a fourth-order Runge-Kutta algorithm. The observer is updated at 0.125-s intervals. In all simulations, the true aileron and elevator biases are 1.5 and 3.0 deg, respectively. Initial estimates of the aileron and elevator biases are taken as 1.5 and 3.0 deg. A proportional-derivative controller with knowledge of the estimated biases is used on the UAV to track a step increase in altitude of 30 m, whereas a 3-deg sinusoidal aileron is applied at a frequency of \( \pi/8 \) rad/s. The proportional observer gains \( K_1 \) and \( K_2 \) are 2, whereas the switching gains \( K_{s1} \) and \( K_{s2} \) are 0.25. Parameters \( \sigma_1 \) and \( \sigma_3 \) are chosen as 842 and 782, respectively. Finally, the matrices \( Q \) are chosen so that the solution to the Lyapunov equation for the systems in Eqs. (28) and (29) yields \( p_{zz1}/p_{zz2} = 0.0042 \) and \( p_{zz1}/p_{zz2} = 0.0047 \). The VS observer and UAV described earlier are implemented initially with no sensor noise. The results are shown in Figs. 1 and 2.

<table>
<thead>
<tr>
<th>Variable</th>
<th>Value</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>( S )</td>
<td>28.6</td>
<td>N</td>
</tr>
<tr>
<td>( b )</td>
<td>0.38</td>
<td>m^2</td>
</tr>
<tr>
<td>( c )</td>
<td>1.3</td>
<td>m</td>
</tr>
<tr>
<td>( \ell_1 )</td>
<td>0.22</td>
<td>m</td>
</tr>
<tr>
<td>( \ell_{xx} )</td>
<td>0.093</td>
<td>kg · m^-2</td>
</tr>
<tr>
<td>( \ell_{yy} )</td>
<td>0.265</td>
<td>kg · m^-2</td>
</tr>
<tr>
<td>( \ell_{zz} )</td>
<td>0.352</td>
<td>kg · m^-2</td>
</tr>
<tr>
<td>( \ell_{xz} )</td>
<td>0.0</td>
<td>kg · m^-2</td>
</tr>
</tbody>
</table>

Table 1 UAV physical parameters
An EKF based on the dynamic model described in Eqs. (10–14) is also implemented, with results shown along with the VS observer for comparison. In Fig. 1 the VS aileron bias converges within 5% of the true aileron bias in 10 s. The EKF converges in 3 s, however, oscillations 0.25 deg above and below the true bias persist because of the continuous motion on the UAV. Figure 2 shows the elevator bias for which both the VS observer and EKF converge to the true bias within 5 s. Unlike the aileron bias, the EKF elevator bias does not oscillate, primarily because the elevator deflection reaches equilibrium once the desired altitude is reached.

Robustness of the VS observer is demonstrated by adding Gaussian noise with a standard deviation of 0.075 m/s to all body velocities and noise with a standard deviation of 0.125 deg/s to all angular velocities. Model errors are introduced by altering all aerodynamic coefficients randomly by 5%. The parameters $K_1$, $K_3$, $\sigma_1$, and $\sigma_3$ and the switching gains all remain the same. Figures 3 and 4 compare the VS observer to the EKF with sensor noise and model errors. The convergence time for the VS observer is the same with and without noise. Aileron bias estimation for the VS observer converges to the correct bias with only minor effects from noise and model error demonstrating robustness. The EKF, however, continues to wander around the true bias, just as in the case with no noise, but the model errors have amplified the problem. Similar results are shown in Fig. 4 for the estimated elevator bias in which the VS observer is insensitive to the noise and model errors, but the EKF estimation errors are amplified.

An added benefit of the VS observer is parameters that are free to select have obvious effects in the resulting observer. The four parameters $K_1$, $K_3$, $\sigma_1$, and $\sigma_3$ all change the convergence rate, whereas the switching parameters change the robustness. The nonswitching parameters are limited only by their tendency to create “stiff” observer differential equation when $K_1$ and $K_3$ are large and $\sigma_1$ and $\sigma_3$ are small. In simulation, it was demonstrated that when using suitable gain parameters, even for a large integration interval of 0.125 s, the switching creates no problem for the VS observer. The EKF requires the measurement and model covariance as tuning parameters, with the latter often being difficult to quantify. Small changes in measurement and model covariance may lead to poor performance or instability.

### Table 2 UAV aerodynamic coefficients

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
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<tr>
<td>$C_{\theta}$</td>
<td>-0.005</td>
</tr>
<tr>
<td>$C_{\phi}$</td>
<td>-0.362</td>
</tr>
<tr>
<td>$C_{\phi_{\theta}}$</td>
<td>-0.043</td>
</tr>
<tr>
<td>$C_{\phi_{\phi}}$</td>
<td>0.031</td>
</tr>
<tr>
<td>$C_{\phi_{\alpha}}$</td>
<td>-0.625</td>
</tr>
<tr>
<td>$C_{\phi_{\tau}}$</td>
<td>-8.43</td>
</tr>
<tr>
<td>$C_{\phi_{\phi}}$</td>
<td>-0.817</td>
</tr>
<tr>
<td>$C_{\phi_{\phi}}$</td>
<td>0.002</td>
</tr>
<tr>
<td>$C_{\phi_{\phi}}$</td>
<td>-0.051</td>
</tr>
<tr>
<td>$C_{\phi_{\phi}}$</td>
<td>0.0</td>
</tr>
</tbody>
</table>

![Fig. 1 Aileron bias with no sensor noise.](image1)

![Fig. 2 Elevator bias with no sensor noise.](image2)

![Fig. 3 Aileron bias with sensor noise.](image3)

![Fig. 4 Elevator bias with sensor noise.](image4)
The VS observer was flight tested on a RC trainer aircraft powered by a 40 series glow engine. The test aircraft has dimensions and mass properties described in Table 1. The aerodynamic coefficients in Table 2 were estimated using semi-empirical methods in Datcom [19]. A model-based controller was implemented to track desired points defined by their position and altitude. The sensor suit shown in Fig. 5 includes three single-axis ADXRS300 gyroscopes, two ADXL320 two-axis accelerometers, a HMC1053 three-axis magnetometer, and a 4-Hz GPS receiver. Two flights were completed: a baseline flight in which the aileron and elevator were visually aligned to minimize control surface bias and a biased flight in which 3 and 4 deg of aileron and elevator bias were added. Flight results are shown in Figs. 6 and 7. In Fig. 6 the desired path is defined by four points that create a square 200 × 250 m. The baseline flight performs well, tracking the desired path within 25 m however; when 3 deg of aileron bias is added, the UAV continually tries to roll away from the desired path and the error more than doubles. A similar result for a desired altitude of 90 m is shown in Fig. 7, in which the baseline flight has errors of less than 12 m, but when elevator bias is added, the UAV climbs 50 m above the desired altitude.

Results of the VS observer for the baseline and biased flights are shown in Figs. 8 and 9 for the elevator and aileron, respectively. The observer is numerically integrated at 16 Hz using a fourth-order Runge–Kutta algorithm in which course and ground speed from the GPS receiver are assumed constant between 4-Hz updates. The proportional observer gains $K_1$ and $K_3$ are 20, whereas the switching gains $K_{S1}$ and $K_{S3}$ are 5.0. Parameters $\sigma_1$ and $\sigma_3$ are chosen as 1684 and 1564, respectively. Finally, the matrices $Q$ are chosen so that the solution to the Lyapunov equation for the systems in Eqs. (28) and (29) yields $p_{11}/p_{22} = 0.0008$ and $p_{12}/p_{22} = 0.0009$. Despite the attempt to eliminate all control bias for the baseline case, it can be seen that the VS observer estimates biases centered at 0.7 and 0.0 deg for the elevator and aileron, with the estimates converging within ±0.40 and ±0.25 deg, respectively, over the final 60 s of flight. Estimated biases for the biased flight are 4.9 deg for the elevator and 1.8 deg for the aileron, with estimates converging within ±0.50 and ±0.13 deg over the final 60 s. Total estimated bias added to the elevator and aileron during biased flight is then 4.2 and 2.5 deg, compared with the known biases of 4 and 3 deg.
V. Conclusions

A nonlinear observer has been developed to predict control surface bias on fixed wing unmanned air vehicles. Lyapunov theory is used to evaluate the region of practical stability when uncertainty is present. The observer is compared in simulation to an EKF, for which it is observed that the VS observer is robust to modeling noise. It is shown that the proposed observer is tolerant of low integration rates, in contrast to most switching controllers for which high switching frequencies are required. The VS observer is tested on a UAV, for which it is shown that small control biases can successfully be observed and could be used to increase tracking performance.

References